

FIG. 1

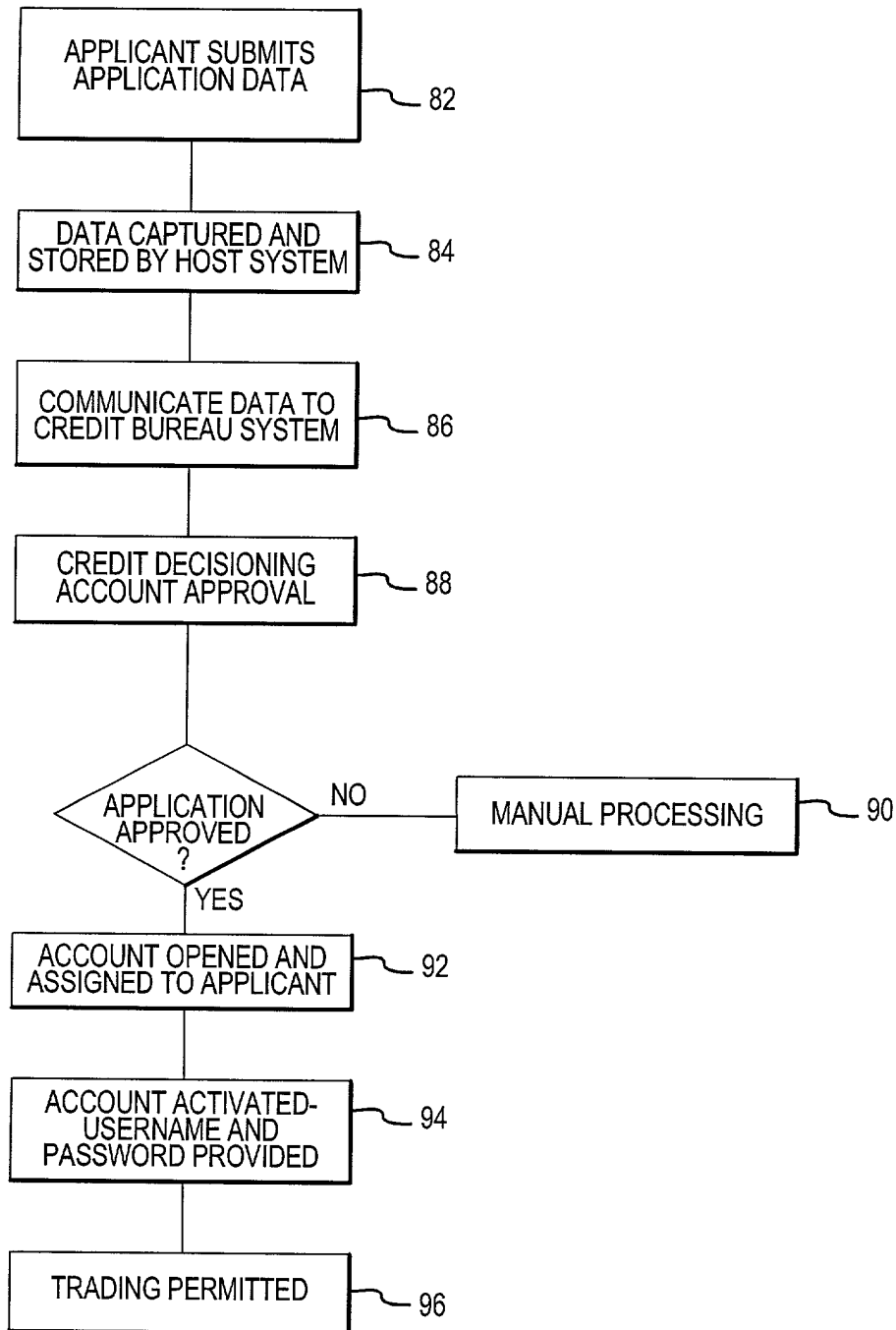


FIG.2

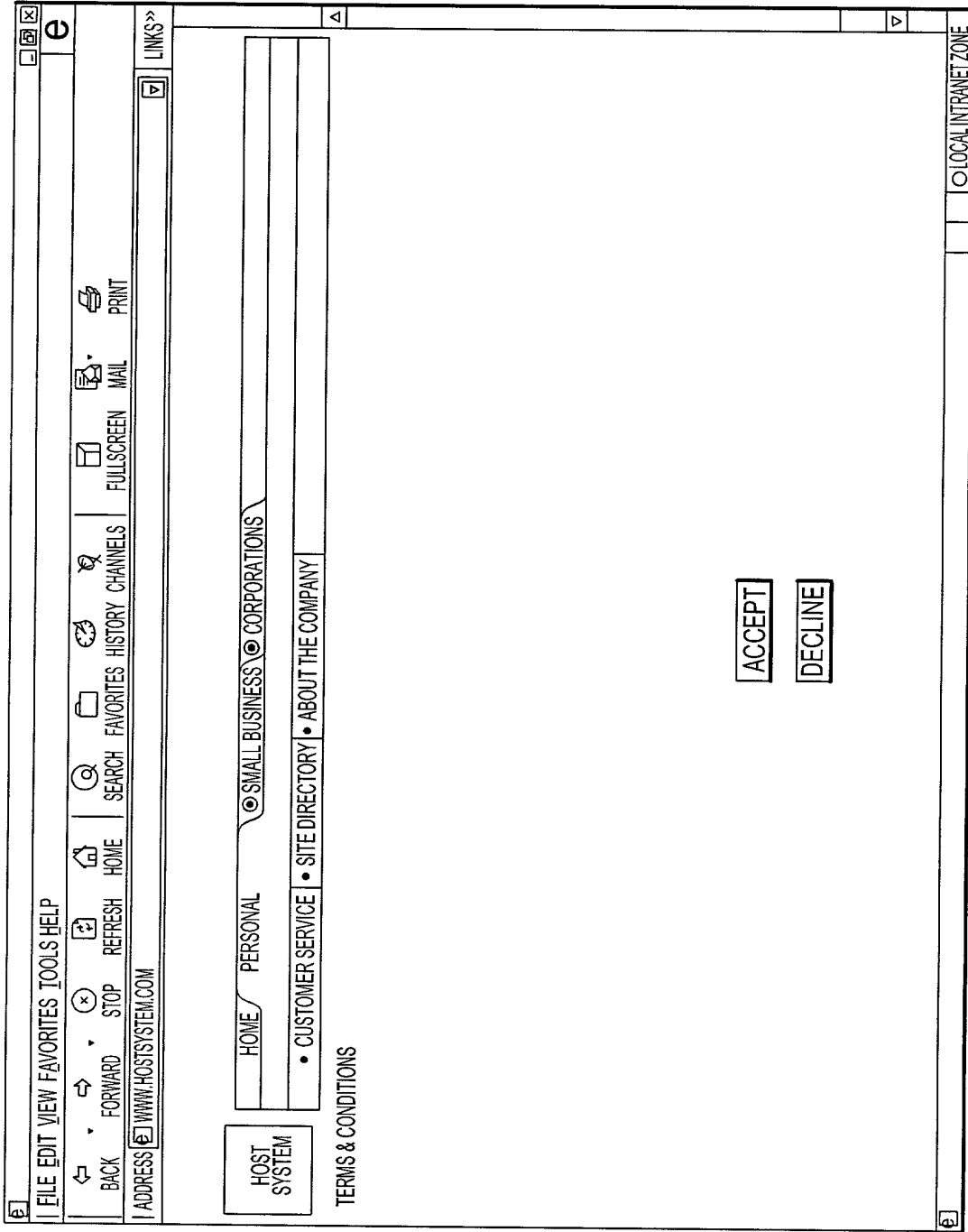
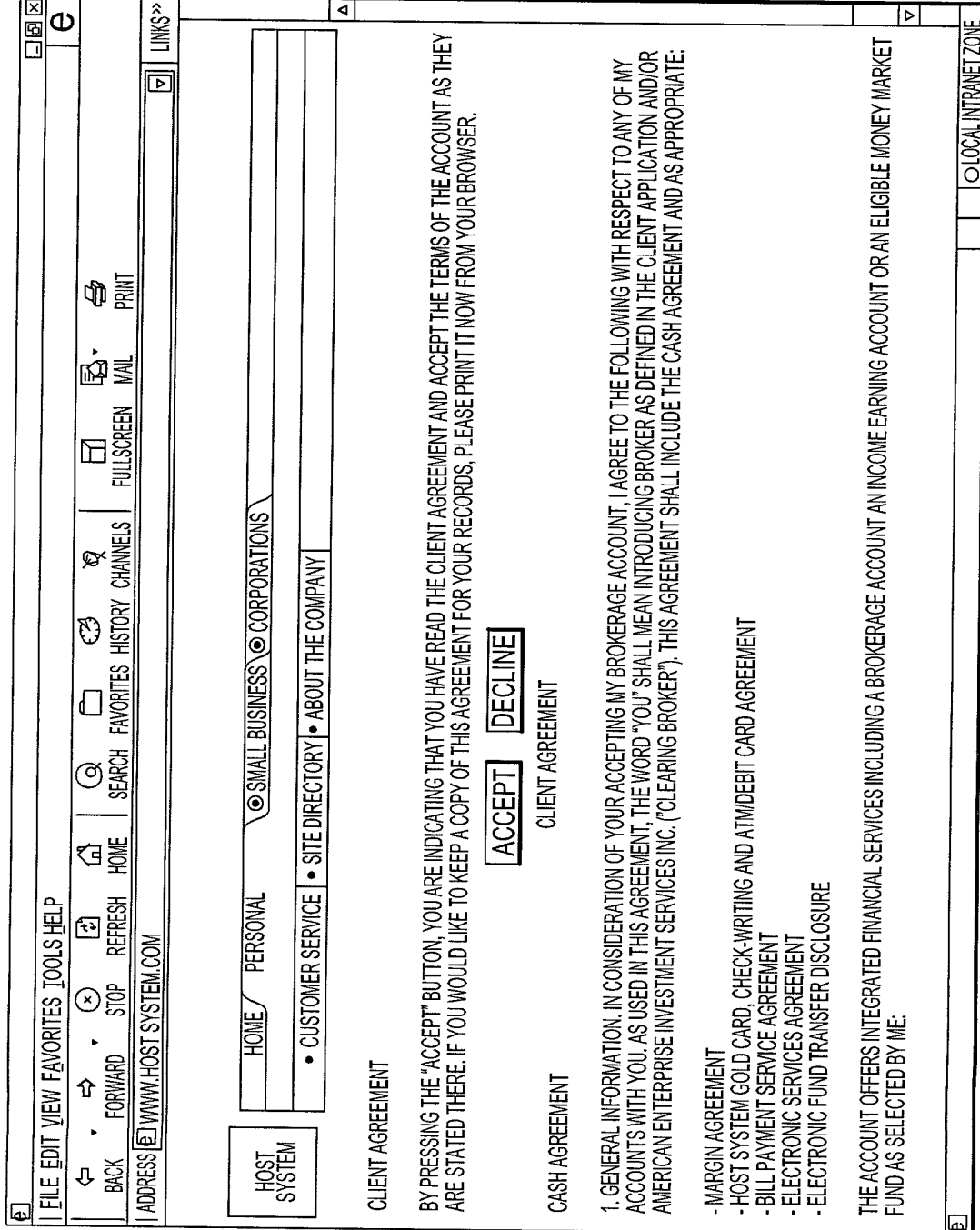


FIG.3



FILE EDIT VIEW FAVORITES TOOLS HELP

BACK FORWARD STOP REFRESH HOME SEARCH FAVORITES HISTORY CHANNELS FULLSCREEN MAIL PRINT EDIT

ADDRESS WWW.HOSTSYSTEM.COM

LINKS>

HOST SYSTEM

HOME / PERSONAL ☒ SMALL BUSINESS ☒ CORPORATIONS

CUSTOMER SERVICE • SITE DIRECTORY • ABOUT THE COMPANY

PAGE 1 OF 9

ACCOUNT REGISTRATION (PLEASE CHECK ONE)*

THE FOLLOWING INFORMATION WILL BE USED TO SET UP YOUR ACCOUNT. PLEASE NOTE THAT ASTERISKS INDICATE REQUIRED INFORMATION.

ONLY INDIVIDUAL AND JOINT ACCOUNTS ARE AVAILABLE FOR INSTANT ACCOUNT OPENING. IF YOU WOULD LIKE TO OPEN ANOTHER TYPE OF ACCOUNT, PLEASE ACCESS ONE OF OUR "FILL AND PRINT" APPLICATIONS OR CALL TO REQUEST AN APPLICATION.

☐ INDIVIDUAL

☐ JOINT (WITH RIGHTS OF SURVIVORSHIP)

☐ JOINT (TENANTS IN COMMON)

LOCAL INTRANET ZONE

FIG. 5

FILE EDIT VIEW FAVORITES TOOLS HELP

BACK FORWARD STOP REFRESH HOME SEARCH FAVORITES HISTORY CHANNELS FULLSCREEN MAIL PRINT EDIT

ADDRESS WWW.HOSTSYSTEM.COM

LINKS

HOST SYSTEM

HOME PERSONAL ☒ SMALL BUSINESS ☒ CORPORATIONS

CUSTOMER SERVICE SITE DIRECTORY ABOUT THE COMPANY

PAGE 3 OF 9

EMPLOYMENT INFORMATION

ACCOUNT HOLDER

PLEASE SELECT ONE. REQUIRED FIELDS MUST BE COMPLETED IF YOU ARE EMPLOYED OR SELF-EMPLOYED.

☐ EMPLOYED ☐ SELF EMPLOYED

☐ RETIRED/STUDENT/HOMEMAKER

EMPLOYER NAME*

EMPLOYER ADDRESS*

OCCUPATION*

YEARS WITH EMPLOYER*

I AM AFFILIATED WITH AN INVESTMENT FIRM, BROKER-DEALER OR BANK. THE NAME AND ADDRESS IS:

LOCAL INTRANET ZONE

FIG.6

FILE EDIT VIEW FAVORITES TOOLS HELP

BACK FORWARD STOP REFRESH HOME SEARCH FAVORITES HISTORY CHANNELS FULLSCREEN MAIL PRINT EDIT

ADDRESS LINKS >>

HOST SYSTEM

HOME PERSONAL ☒ SMALL BUSINESS ☒ CORPORATIONS

CUSTOMER SERVICE • SITE DIRECTORY • ABOUT THE COMPANY

PAGE 4 OF 9

FINANCIAL INFORMATION

WE ARE REQUIRED BY INDUSTRY REGULATIONS TO AS FOR THIS INFORMATION.

ANNUAL INCOME*

LIQUID NET WORTH*

TOTAL NET WORTH*

FEDERAL INCOME TAX BRACKET* ☐ 15% ☐ 28% ☐ 33%

INVESTMENT OBJECTIVE* ☐ CAPITAL PRESERVATION ☐ CAPITAL APPRECIATION ☐ INCOME ☐ TAX CONSIDERATIONS

RISK TOLERANCE* ☐ CONSERVATIVE ☐ MODERATE ☐ AGGRESSIVE

INVESTMENT EXPERIENCE* ☐ LITTLE ☐ MODERATE ☐ EXTENSIVE

CONTINUE

CANCEL

LOCAL INTRANET ZONE

FIG.7

FIG. 8

FILE EDIT VIEW FAVORITES TOOLS HELP

BACK FORWARD STOP REFRESH HOME SEARCH FAVORITES HISTORY CHANNELS FULLSCREEN MAIL PRINT EDIT

ADDRESS WWW.HOSTSYSTEM.COM

HOST SYSTEM

HOME PERSONAL ☒ SMALL BUSINESS ☒ CORPORATIONS

CUSTOMER SERVICE

SITE DIRECTORY

ABOUT THE COMPANY

LINKS»

PAGE 7 OF 9

BROKERAGE ACCOUNT AUTHORIZATION

AFTER READING THE FOLLOWING AGREEMENT(S), PLEASE TYPE YOUR SIGNATURE BELOW. BEFORE PRESSING CONTINUE, PLEASE PRINT THIS PAGE IF YOU HAVE ACCESS TO A PRINTER. YOU WILL NEED TO SEND THIS TO US WITH YOUR SIGNATURE. IF YOU DO NOT HAVE A PRINTER, WE WILL MAIL YOU A CERTIFICATION LETTER FOR YOUR SIGNATURE.

MAILING ADDRESS:

HOST SYSTEM

SIGNATURES (ALL CLIENTS MUST COMPLETE BELOW)

I AM SIGNING FOR ALL SELECTED ACCOUNT FEATURES IN THE ACCOUNT FEATURES SECTION OF THIS APPLICATION.

I CONFIRM THAT I AM AT LEAST 18 YEARS OF AGE AND OF FULL LEGAL AGE IN MY STATE OF RESIDENCE.

...

I AUTHORIZE

☐ ☒ CHECK HERE IF YOU DO NOT AGREE TO HAVE A MARGIN ACCOUNT WITH HOST SYSTEM.

LOCAL INTRANET ZONE

FIG.9

FILE EDIT VIEW FAVORITES TOOLS HELP		LINKS»	
BACK	FORWARD	STOP	REFRESH
HOME		SEARCH	FAVORITES HISTORY CHANNELS
FULLSCREEN		MAIL	PRINT
EDIT			
ADDRESS WWW.HOSTSYSTEM.COM			
HOST SYSTEM		HOME / PERSONAL <input checked="" type="radio"/> SMALL BUSINESS <input checked="" type="radio"/> CORPORATIONS • CUSTOMER SERVICE • SITE DIRECTORY • ABOUT THE COMPANY	
YOU ARE ALMOST FINISHED WITH YOUR HOST SYSTEM BROKERAGE APPLICATION! PAGE 8 OF 9 PLEASE TAKE A MOMENT TO REVIEW YOUR APPLICATION FOR ACCURACY AND MAKE ANY CHANGES NECESSARY VIA THE EDIT BUTTON. BEFORE SUBMITTING YOUR APPLICATION, PLEASE PRINT THIS SUMMARY PAGE IF YOU HAVE ACCESS TO A PRINTER. YOU WILL NEED TO SEND THIS SUMMARY PAGE ALONG WITH THE BROKERAGE ACCOUNT AUTHORIZATION PAGES WITH YOUR SIGNATURE WITHIN 21 DAYS OF SUBMITTING YOUR APPLICATION.			
MAILING ADDRESS: HOST SYSTEM P.O. BOX ANYTOWN, USA			
ACCOUNT HOLDER INFORMATION		EDIT	
ACCOUNT HOLDER INFORMATION FIRST NAME: JOE MIDDLE NAME: LAST NAME: SMITH HOME ADDRESS: 123 LONG STREET CITY: PHOENIX STATE: AZ ZIP: 12345 BUSINESS PHONE: EMAIL: MOTHER'S MAIDEN NAME: MON			
SOCIAL SECURITY NUMBER: 333-23-1212 CITIZEN OF: US STATE OF TAX RESIDENCE: AR HOME PHONE: 602.111.2222 DATE OF BIRTH: 11/11/1911 DRIVER'S LICENSE NUMBER: STATE:			
		LOCAL INTRANET ZONE	

FILE EDIT VIEW FAVORITES TOOLS HELP

BACK FORWARD STOP REFRESH HOME SEARCH FAVORITES HISTORY CHANNELS FULLSCREEN MAIL PRINT EDIT

ADDRESS

LINKS >>

HOST SYSTEM

HOME PERSONAL ☒ SMALL BUSINESS ☒ CORPORATIONS

CUSTOMER SERVICE SITE DIRECTORY ABOUT THE COMPANY

PAGE 9 OF 9

YOU ARE NOW READY TO SUBMIT YOUR HOST SYSTEM BROKERAGE APPLICATION!

PLEASE CLICK ON THE BUTTON BELOW TO SUBMIT YOUR APPLICATION FOR PROCESSING. YOUR APPLICATION WILL BE REVIEWED IMMEDIATELY. WHILE YOU WAIT, WE'D LIKE TO TAKE A MOMENT TO REVIEW SOME IMPORTANT INFORMATION WITH YOU.

SUBMIT

WE NEED YOUR SIGNATURE
WITHIN 21 DAYS OF OPENING YOUR ACCOUNT WE REQUIRE YOUR ORIGINAL SIGNATURE. PLEASE REMEMBER TO MAIL YOUR SUMMARY INFORMATION AND
BROKERAGE ACCOUNT AUTHORIZATION PAGES (OR CERTIFICATION LETTER) TO:

HOST SYSTEM
U.S.A.

LOCAL INTRANET ZONE

FIG.11

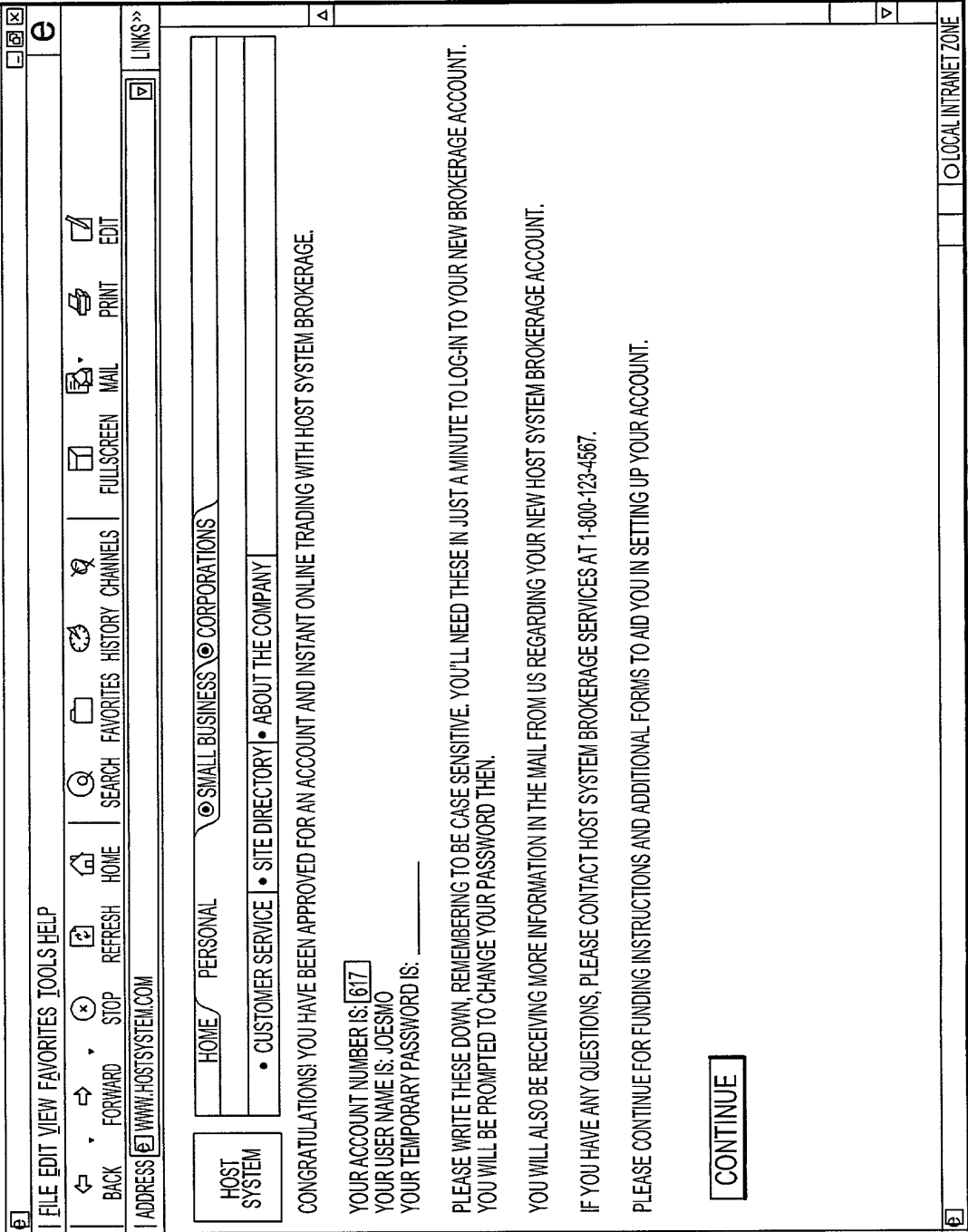


FIG.12